



APPLICATION FORM

Clean Water State Revolving Fund Loan Program (CWSRF)¹

Return completed form:
CWSRF Program Manager
100 North Senate Avenue, Rm. 1275
Indianapolis, IN 46204

Section I. APPLICANT INFORMATION

1. Applicant name (political subdivision): Brown County Regional Sewer District
2. Type of Applicant (check one): City Town County Conservancy District Regional Sewer District Other
3. Wastewater Treatment Provider: Current: None Proposed: Helmsburg WWTP
4. NPDES Number: n/a
5. Does the community have any inter-local agreement(s)? Yes No
 - a. If yes, do they expire after the maturity of the SRF loan? Yes No

Note: If no, agreements will need to be renewed to ensure they expire after the final maturity of the SRF Loan
6. Location of the Proposed Project: City / Town: NW Brown County (Needmore, Trevlac, Beanblossom), NE Monroe County
 County(ies): Brown / Monroe Civil Township(s): Jackson, Hamblen / Benton North
 State Representative District: 65 State Senate District: 44 Congressional District: 9
7. Service Area Population (<http://data.census.gov>) : 1,900
8. Population Trend, select one (<http://data.census.gov>): Increasing Decreasing
9. Median Household Income for Service Area (<http://data.census.gov>): \$66,902
10. Unemployment Rate Data (<http://data.bls.gov>): 3.10%
11. Number of Connections: (Current): 0 (Post –Project): 702
12. Current User Rate/4,000 gallons: n/a Estimated Post-Project User Rate/4,000 gallons: \$404 (100% debt)
13. Average Residential User Bill for the last 12 months: n/a
14. Applicant’s Unique Entity Identifier²: _____
15. Have Architectural and Engineering (A&E) services been procured? Yes No
16. If A&E services were procured, was procurement done pursuant to 40 USC Chapter 11? Yes No
17. Has a copy of the utility’s Asset Management Program Certification been attached?³ Yes No
18. What was the date of the last IFA Regional Planning Meeting attended by the utility?⁴ _____
19. What was the end date of the last full State Board of Accounts Audit? n/a
20. Is land acquisition and/or easements needed for this project? Yes No

If yes, have all land rights been acquired? Yes No

¹ By submitting this form, the Community is applying to multiple funding sources administered by the Authority, including the state Water Infrastructure Assistance Program. The Authority will determine the fund source that best serves the proposed project.
² SRF Participants must register with SAM.gov to secure a Unique Entity Identifier (UEI). For more information about how to obtain a UEI and register in SAM.gov, see www.srf.in.gov
³ Per IC 5-1.2-10-16, all PERs submitted to the IFA’s SRF Programs must include a completed Asset Management Program (AMP).
⁴ Per IC 5-1.2-11.5-7 and 5-1.2-11-8, the Applicant has or will participate in a cooperative/ regional activity (e.g., attend an IFA Regional Planning Meeting [www.in.gov/ifa/3035] or cooperative activity) acceptable to the Authority.

Section II. CONTACT INFORMATION

Authorized Signatory (An official of the Community or wastewater system that is authorized to contractually obligate the applicant with respect to the proposed project.):

Name: Mike Leggins
Title: Board President
Address: P.O. Box 1881
City, State, Zip Code: Nashville, IN 47448
Telephone # (include area code): _____
E-mail: _____

Applicant Staff Contact (person to be contacted directly for information if different from authorized signatory):

Name: _____
Title: _____
Address: _____
City, State, Zip Code: _____
Telephone # (include area code): _____
E-mail: _____

Certified Operator:

Name: _____
Telephone # (include area code): _____
E-mail: _____

Grant Administrator (if applicable):

Contact: _____
Firm: _____
Address: _____
City, State, Zip Code: _____
Telephone # (include area code): _____
E-mail: _____

Consulting Engineer:

Contact: Brian Bullock
Firm: Beam, Longest & Neff, LLC
Address: 505 S. Woodcrest Drive
City, State, Zip Code: Bloomington, IN 47401
Telephone # (include area code): 812-360-8443
E-mail: bbullock@b-l-n.com

Bond Counsel:

Contact: Chris Janak
Firm: Bose McKinney & Evans
Address: 111 Monument Circle, Suite 2700
City, State, Zip Code: Indianapolis, IN 46204
Telephone # (include area code): 317-684-5249
E-mail: cjanak@boselaw.com

Financial Advisor:

Contact: Katelyn Shafer
Firm: Reedy Financial Group
Address: 103 N. Community Drive
City, State, Zip Code: Seymour, IN 47274
Telephone # (include area code): 812-522-9444
E-mail: kshafer@reedyfinancialgroup.com

Local Counsel:

Contact: _____
Firm: _____
Address: _____
City, State, Zip Code: _____
Telephone # (include area code): _____
E-mail: _____

Section III. PROJECT INFORMATION

• **Project Need:**

Complete as many of the following categories that apply to your project. Provide a brief description of the needs/problems associated with each. Descriptions can be found in [Appendix A](#). Please attach additional sheets if necessary.

Secondary Treatment:	Poor soils, small lot sizes, and hilly topography in the service area are causing widespread septic system failure. These failures have resulted in severe impairment of water bodies in the service area. Wastewater treatment is needed to prevent further impairment.
Advanced Treatment:	
Infiltration/ Inflow Correction and/ or Major Sewer System Rehabilitation:	
New Collection and/or Interceptor Sewers:	Poor soils, small lot sizes, and hilly topography in the service area are causing widespread septic system failure. This is documented by numerous testing doen in the watershed as documented in the PER. A new collection system is needed to replace the existing septic systems and convey wastewater for treatment.
Combined Sewer Overflow Correction	
Storm Water Management	
Nonpoint Source Management	

- **Proposed Project:** Describe the scope of the proposed project and how it will address the applicant’s needs as enumerated above. Please provide a map/ figure showing proposed work areas. *Please attach additional sheets if necessary.*

A new low-pressure sewer collection system is proposed to be installed in 3 phases throughout northwestern Brown County. This system will replace existing septic systems which are failing and contributing to impairment of water bodies in the service area and further downstream. Phase 1 will install a trunkline mainly along State Road 45, and Phases 2 and 3 will extend mains outward from S.R. 45 to connect as many residents as possible. Wastewater treatment will either be provided at an upgraded and expanded Helmsburg RSD WWTP or at a new WWTP in the Needmore / Trevlac area, depending on whether an agreement can be made between Helmsburg RSD and Brown County RSD.

- **Environmental Benefits**

- Public Health / National Pollutant Discharge Elimination System (NPDES) Violation / Agreed Order

a. Will this project achieve compliance? Yes No

OR

b. Maintain compliance? Yes No

- Permit Information

a. Will the NPDES permit be revised as part of this project? Yes No

b. If the plant will increase its treatment capacity, have preliminary effluent limitations been requested from IDEM’s Office of Water Quality Modeling Section? Yes No

c. Will the project require an Antidegradation Demonstration prior to approval? Yes No

- Does any part of the proposed project address:

a. Elements of the CSO Long Term Control Plan? Yes No

b. Stormwater MS4 (Rule 13) Best Management Practices? Yes No

c. An existing Watershed Management Plan? Yes No

• What receiving stream(s) does the wastewater treatment plant and/or CSOs project impact (if any)?:

Beanblossom Creek

Section IV. COST INFORMATION

1. **Project Cost Estimate:** Include estimates for ALL projects identified in the Project Information, Section III, A.

Indicate estimates for each project. Please attach additional sheets if necessary.

Estimated Construction Costs:

(I) Secondary Treatment	\$ _____
(II) Advanced Treatment	\$ _____
(IIIA) Inflow / Infiltration Correction	\$ _____
(IIIB) Major Sewer System Rehabilitation	\$ _____
(IV-A) New Collection Sewers	\$27,468,000 _____
(IV-B) New Interceptor Sewers	\$ _____
(V) Combined Sewer Overflow Correction	\$ _____
(VI) Storm Water Management	\$ _____
(VII-A-K) Nonpoint Source Needs	\$ _____

Contingencies **\$3,052,000** _____

TOTAL CONSTRUCTION: **\$30,520,000** _____

Estimated Non-Construction Costs:

Financial, Legal, etc.	\$850,000 _____
Engineering	\$7,630,000 _____
Other Non-construction Costs	\$ _____
(Describe: _____)	
Land/ Easement Acquisition	\$ _____

TOTAL NON-CONSTRUCTION: **\$8,480,000** _____

TOTAL PROJECT COST (Estimated): **\$39,000,000** _____

2. Please identify any other funding sources being considered, the amount requested and the anticipated funding time frame:

	Application Submittal (date)	Amount Requested (dollars)	Amount Awarded (if applicable)
Office of Community and Rural Affairs			
U.S. Dept. of Commerce Economic Development Administration			
U.S. Dept. of Agriculture Rural Development	April 2023	Unknown	
Local Funds			
Other: _____			

3. Anticipated SRF Loan Amount (after other funding): TBD

4. Will this project proceed if other funding sources are not in place? Yes No

Section V. ADDITIONAL FINANCIAL QUESTIONS

Please confirm your answers with the utility’s legal and financial advisers prior to submitting your responses.

- A. Will this SRF loan be repaid from net revenue of the applicant’s utility being improved by the SRF project?: Yes No

If “yes”, then please answer the following additional questions:

- Are there any other debt obligations of this utility (i.e., bank loans, guarantee savings contracts, installment payment contracts, bank or financing purchase leases, loans from other utilities of the applicant)? Yes No
- Is an estimated debt service coverage percentage currently available (coverage is computed by taking Net Revenues and dividing it by maximum annual debt service inclusive of both the planned new and any outstanding revenue bonds)? Yes No
 - if available, the coverage estimate is 125.44 percent.

Please know that prior to any loan preclosing, a formal pro forma coverage showing of at least 125% is required by SRF.

- B. Will net revenues be the sole source of repayment? Yes No

If “no” was marked in Questions A and B, then please answer the following additional questions:

- What is the planned source(s) to provide funds to make SRF loan repayments? Check below as applicable:
 - property taxes. If checked:
 - Is a preliminary determination & remonstrance process under IC 6-1.1-20 required? Yes No
 - Has that preliminary determination & remonstrance process under IC 6-1.1-20 been completed? Yes No
 - tax increment revenues. If checked:
 - Has a TIF area already established? Yes No

If already established:

- 1) Please provide history of tax increment revenues (at least five (5) years)
- 2) Provide a schedule of projected tax increment revenues, debt service (which includes existing obligations pledged with tax increment revenues) and a showing that the 125% coverage requirement is met.

other (describe: _____).

- C. Will proceeds be used to payoff an existing BAN? Yes No

- if “yes”, provide amount of the payoff _____.
- And, provide the purpose for which the BAN was used: Construction Non-construction
If Construction is selected, the subject of the BAN will require SRF review prior to construction.

Section VI. SIGNATURE

I certify that I am legally authorized by the legislative body to sign this application. To the best of my knowledge and belief, the foregoing information is true and correct.



Signature of Authorized Signatory (Community Official)

Mike Leggins

Printed or Typed Name

President of the Brown County Regional Sewer District

Title of Authorized Signatory

3/30/2023

Date

Appendix A

This appendix is intended to assist with the completion of Section III and Section IV of the Indiana Wastewater/Clean Water SRF application.

Category Definitions

Secondary Wastewater Treatment: Required to meet the minimum level of treatment that must be maintained by all treatment facilities. Secondary treatment typically requires a treatment level that produces an effluent quality of 30 mg/l of both 5-day BOD and total suspended solids.

Advanced Treatment: Required to achieve treatment levels more stringent than secondary treatment or produces a significant reduction in nonconventional or toxic pollutants present in the wastewater treated by a facility. A facility is considered to have advanced wastewater treatment if its permit includes one or more of the following: BOD less than 20 mg/l, the removal of nitrogen, phosphorus, ammonia, metal, and/or synthetic organic compounds.

Conveyance System Repair: Infiltration / Inflow Correction: Required for the correction of sewer system infiltration and inflow (I/I) problems. Infiltration includes the penetration of water into a sanitary or combined sewer system from the ground through defective pipes or manholes. Inflow includes the penetration of water into the system from drains, storm sewers, and other improper entries. All costs that are necessary for removing excessive I/I from the sewer system such as replacement or relining sewer selections, flow routing systems, downspout disconnections, elimination of sanitary/storm sewer cross connections etc.

Conveyance System Repair: Major Sewer System Rehabilitation: Required for replacement and/or major rehabilitation of existing sewer system throughout the municipality.

New Collectors and Appurtenances: Required for construction of new collector sewer systems and appurtenances, designed to correct violations caused by raw discharges, seepage to water from septic tanks, and similar problems, and/or to comply with federal, state or local enforcement actions.

New Interceptor Sewers: Required for construction of new interceptor sewers and pumping stations necessary for the bulk transport of wastewater.

Combined Sewer Overflow Correction: Required for construction of facilities to prevent and control periodic bypassing of untreated wastes from combined sewers in order to achieve water quality objectives. This category does not include treatment and/or control of stormwater.

Stormwater Management: Required for implementing structural and nonstructural measures to control the runoff water resulting from precipitation. Includes controlling stormwater pollution from diffuse sources by reducing pollutants from runoff, detecting and removing illicit discharges and improper disposal into storm sewers and monitoring pollutants in runoff. Category can include stormwater conveyance infrastructure, stormwater treatment systems, and green infrastructure.

Nonpoint Source: Nonpoint Source water pollution comes from many diffuse sources and results when human-made pollutants are carried to waterways by the natural movement of water. Nonpoint Source pollution results from land runoff, precipitation, atmospheric deposition, drainage, seepage or hydrologic modification. This category can include correction of failing septic systems, brownfield remediation, wetland restoration/protection, erosion control measures, groundwater remediation, wellhead protection measures, agricultural and waste management best management practices.